



PTO/SB/21 (04-04)

3749  
41**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/077,413
Filing Date	February 15, 2002
First Named Inventor	HTOO, Thurein M.
Art Unit	
Examiner Name	
Attorney Docket Number	02307O-149900US

Total Number of Pages in This Submission

**ENCLOSURES (Check all that apply)**

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Return Postcard;<br>Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address;<br>Statement Under CFR 3.73(b); and<br>Assignment (COPY - NOT FOR RECORDATION) |
|--|---|--|

Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
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**RECEIVED**  
OCT 22 2004  
TECHNOLOGY CENTER R3700**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Townsend and Townsend and Crew LLP	Reg. No. 35,551
Signature		
Date	October 15, 2004	

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Bruce L. Flanders	Date	October 15, 2004
Signature			

OCT 18 2004

PTO/SB/82 (09-03)

**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/077,413
Filing Date	February 15, 2002
First Named Inventor	HTOO, Thurein M.
Art Unit	
Examiner Name	
Attorney Docket Number	023070-149900US

I hereby revoke all previous powers of attorney given in the above-identified application:

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

**20350**

☐ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

**20350**

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OR

☐ Firm or  
Individual Name

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Address

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Name Linda Stevenson, Manager - Patent Prosecution

Signature

Date

Telephone

(510) 587-6000

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.



PTO/SB/98 (08-03)

Attorney Docket No. 023070-149900US

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Thurein M. HTOO et al.Application No./Patent No.: 10/077,413/ Filed/Issue Date: February 15, 2002 /Entitled: FLASK AND METHOD FOR DRYING BIOLOGICAL MATERIALSthe Regents of the University of California, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_%

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014628, Frame 0864, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

September 27, 2004  
Date

(510) 587-6000

Telephone number

S.  
Linda Stevenson

Typed or printed name

Linda S. Stevenson  
Signature

Manager, Patent Prosecution

Title

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TECHNOLOGY CENTER B3700

**ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS,

Name: Thurein M. Htoo  
Address: 2505 Fifth Street, #137, Davis, CA 95616  
Nationality: USA

Name: John H. Crowe  
Address: 1111 Cottonwood Court, Davis, CA 95616  
Nationality: USA

Name: Ann E. Oliver  
Address: 874 Parklin Avenue, Sacramento, CA 95831  
Nationality: USA

Name: Fern Tablin  
Address: 608 Huble Street, Davis, CA 95616  
Nationality: USA

Hereby sells, assigns, and transfers to ASSIGNEE:

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, A CALIFORNIA CORPORATION, HAVING ITS ADDRESS AT THE OFFICE OF TECHNOLOGY TRANSFER, UNIVERSITY OF CALIFORNIA, 1111 FRANKLIN STREET, 12TH FLOOR, OAKLAND, CALIFORNIA 94607-5200

And the successors, assigns and legal representatives of the ASSIGNEE, the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including an rights to claim priority , in and to any and all improvements which are disclosed in the invention entitled: .

***FLASK AND METHOD FOR DRYING BIOLOGICAL MATERIALS***

And which is found in

- (a) . ☐ U.S. provisional patent application filed herewith and listing the above named person(s) as inventor(s).
- (b) ☐ U.S. patent application filed herewith listing the above named person(s) as inventor(s).
- (c) ☒ U.S. patent application serial no. 10/077,413 , filed on February 15, 2002 .
- (d) ☐ U.S. Patent No.: \_\_\_\_\_ , issued \_\_\_\_\_ .

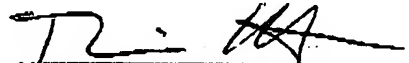
And any legal equivalent thereof in a foreign country , including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in- part, extension or substitute thereof, and an)' reissue, reexamination or extension of said letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNORS hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment; ASSIGNORS further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said Invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all

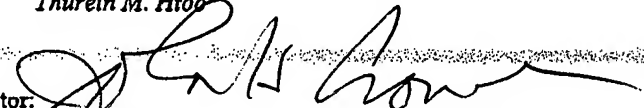
papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said Invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known. .

AND the ASSIGNORS requests the Commissioner of Patents and Trademarks to issue said Letters of Patent of the United States and any reissue or extension thereof to the ASSIGNEE, the Regents of the University of California.

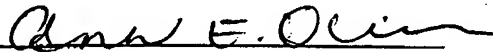
Date: 10/14/03

Inventor:   
Thurein M. Htoo

Date: 10/16/03

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Ann E. Oliver

Date: 10/16/03

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